SECURITY INFORMED SAFETY

WHY ITS EASY, WHY ITS HARD

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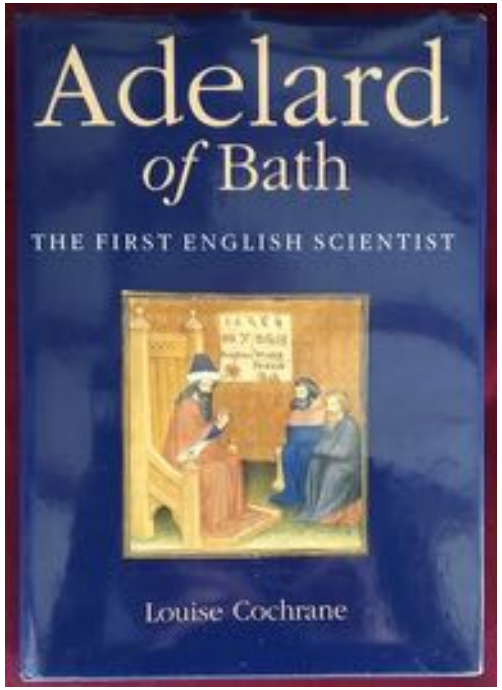
ADELARD

- Adelard is a specialized, influential product and services company working on safety, security and resilience since 1987
- Wide-ranging experience of assessing computer-based systems and components
- Work across different industrial sectors, including nuclear, rail, defence, aviation, financial, medical
  - Policy, methodology, technology
  - Product for managing safety and assurance cases (ASCE)
  - Security-informed safety and dependability
- Consultants PhD level, international team
- Partner in UK Research Institute on Trustworthy ICS (RiTICS)



ASSURANCE

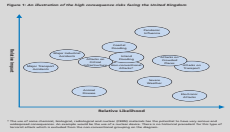
- trust and trustworthiness are of enormous societal value
- assurance is an enabler of innovation
- security requires innovation

OUTLINE

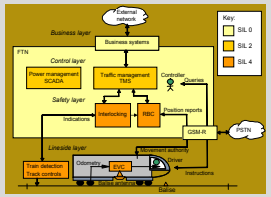
- Background
- Assessing impact of security on safety
  - Projects and policies
- Outcomes and ongoing work
  - Security informed safety case
  - Codes of Practice (PAS and CoP)
  - research projects
- Discussion and conclusion
  - Why easier than feared, why hard

SECURITY-INFORMED SAFETY AND RESILIENCE

Systems of systems

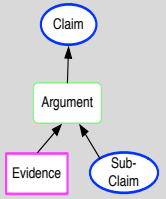


Risk assessment

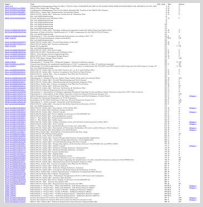


Training

Assurance and policy Framework

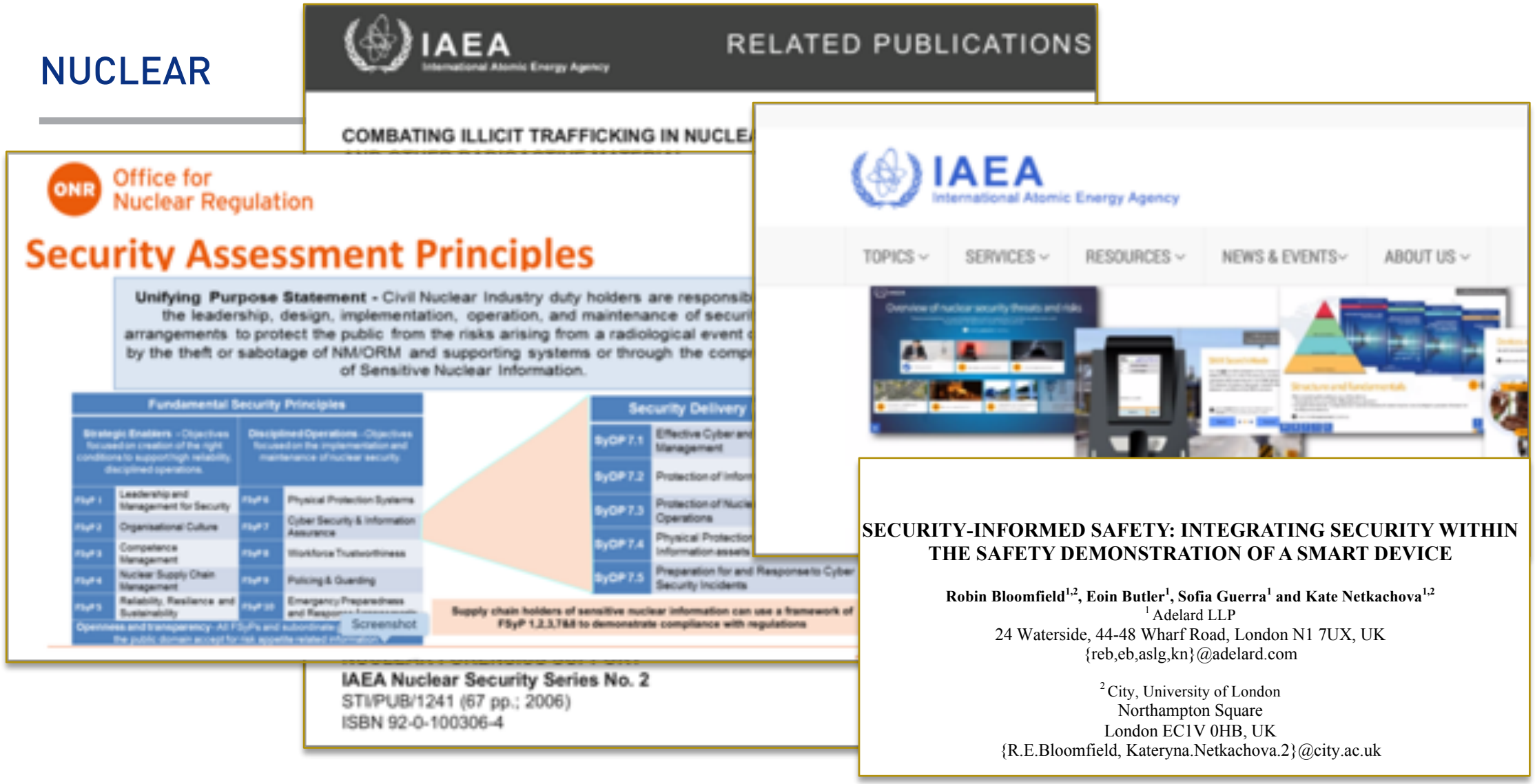


Standards and policy



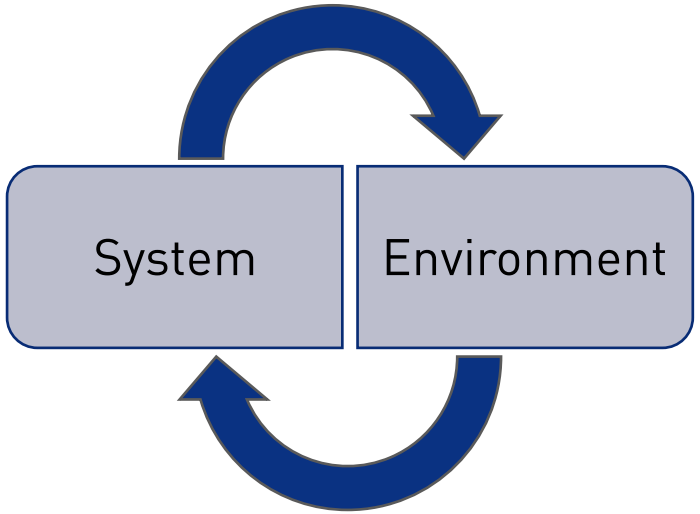
Many projects: Ritics, Sesamo, Aquas, CPNI, IEC, BSI, IET …

NUCLEAR



SAFETY AND SECURITY

- Safety –the damage the system can do to the environment

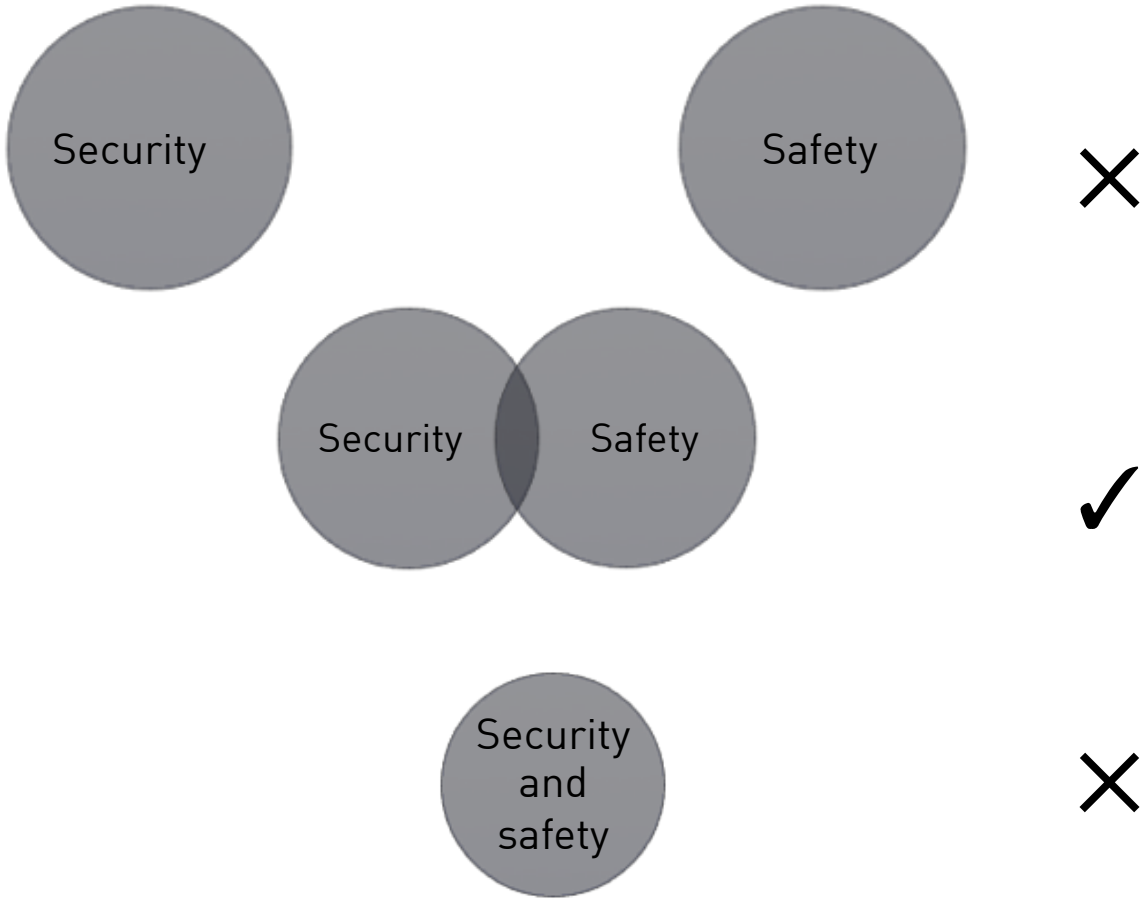


- Security – the damage the environment (in a broad sense) does to the system

SLOGAN

“If it’s not secure, it’s not safe”

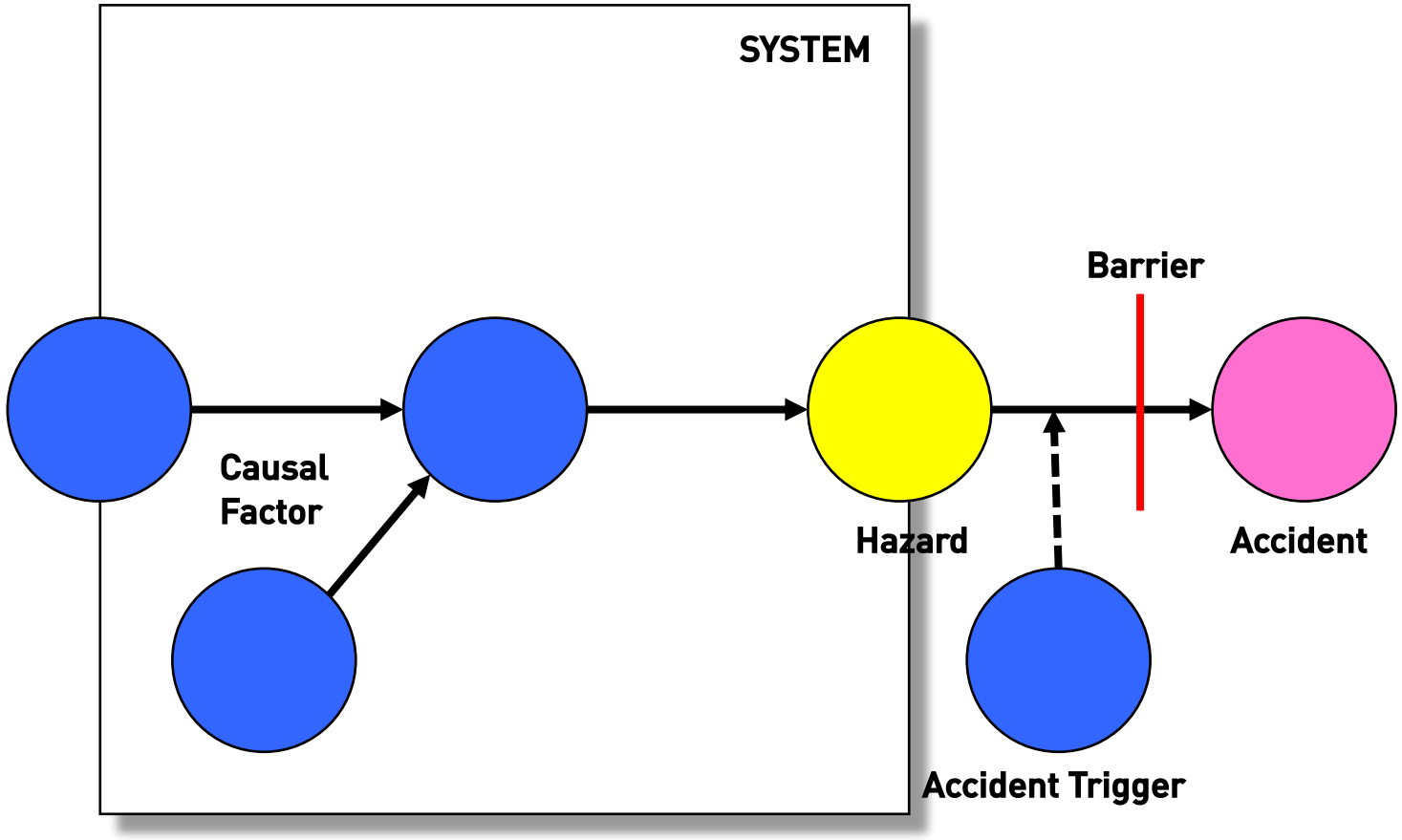
HOW MUCH SHOULD SAFETY AND SECURITY BE INTEGRATED?



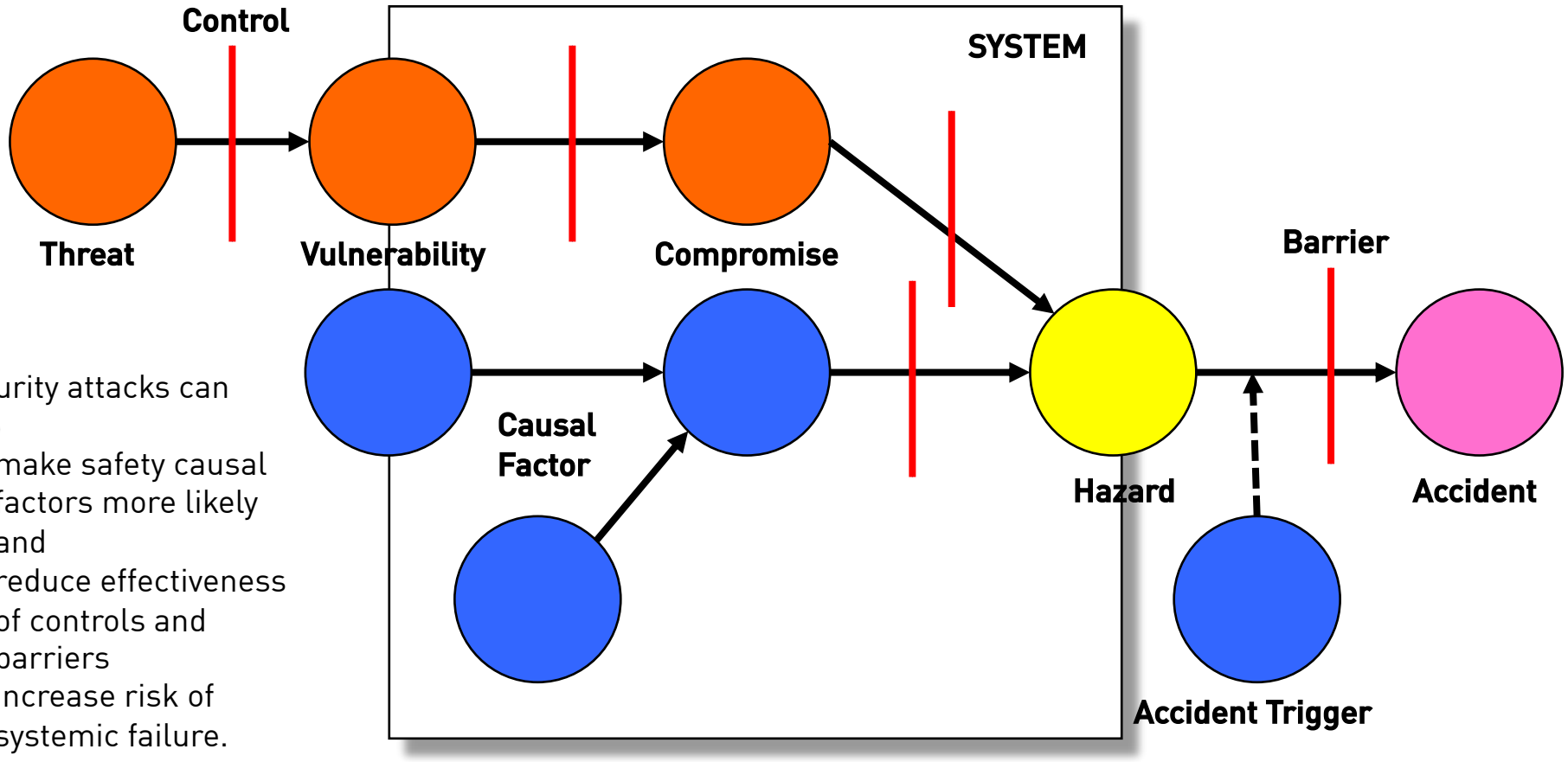
IS THE SECURITY OF INDUSTRIAL SYSTEMS A REAL SAFETY CONCERN?

- Examples
- Late 2014, German steel mill attack
  - Initial breach via “spear fishing”
  - Safety controls overridden
  - Extensive damage to blast furnace
  - Probably a nation state attack (advanced persistent threat – APT)
- December 2015, Cyber attack on Ukraine Power grid
  - Cut off 103 towns and cities in Ukraine
  - Russia blamed
- December 2017 malware detected in Middle Eastern petrochemical facility
  - Safety system shutdown as the result of a Triton malware attack.
  - System had been penetrated over a 2 years before detection
  - Tampering with the process control AND safety systems
  - Russia blamed

SAFETY ANALYSIS



COMBINED SAFETY AND SECURITY ANALYSIS



Security attacks can also

- make safety causal factors more likely and
- reduce effectiveness of controls and barriers
- increase risk of systemic failure.

TYPICAL URBAN TRANSPORT SYSTEM

Images http://jpninfo.com/57046

SYSTEMS OF SYSTEMS

Office systems, design information

Control and management centre

Maintenance systems

Gate control systems

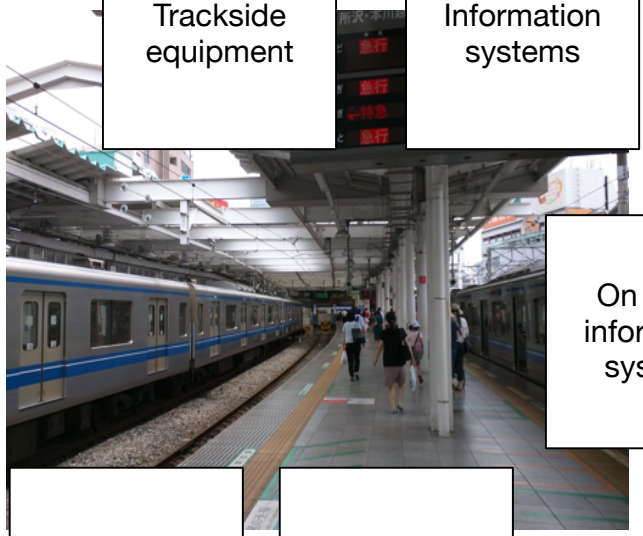
Connected passenger

Payment systems

CCTV and crowd analysis

Trackside equipment

Information systems



On board information systems

On board braking systems

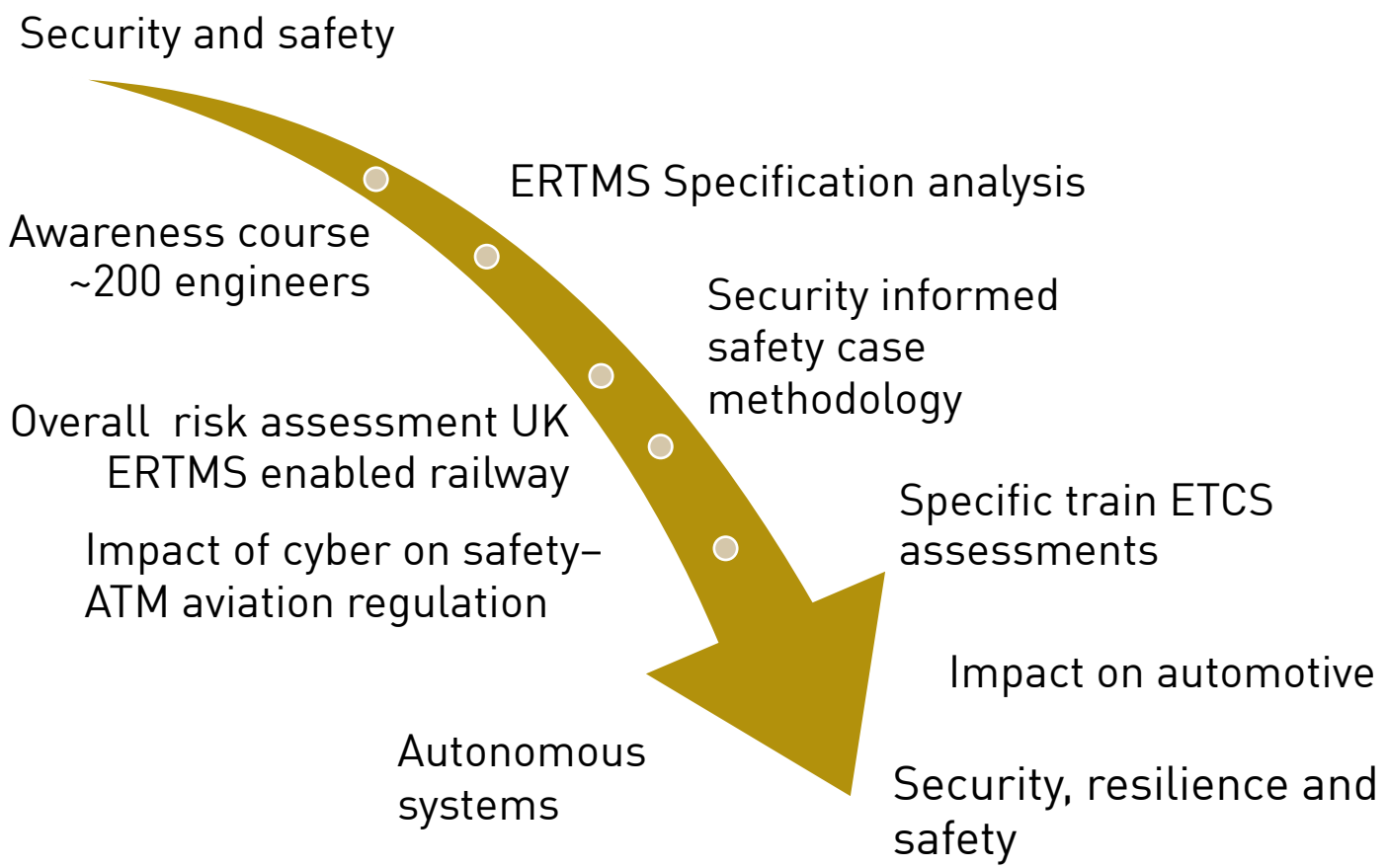
On board door control systems

SAFETY AND SECURITY SYSTEMS

- Plant/Systems with an overall mission, part of which is safety and security
  - Main mission is to deliver a service
- Safety systems with one mission
  - Shut down, stop
- Security systems with one mission
  - Access control, CCTV
- Security systems that can directly impact safety
  - Crowd control, PA and communications
- Systems that can be used in different stages of an attack
  - e.g for phishing, gaining information
- Architectures that integrate all types of systems
- Complex incidents - enabled, amplified by systems interactions

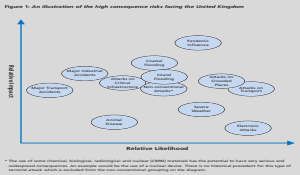
ASSESSING IMPACT OF SECURITY ON SAFETY

Security, resilience and safety

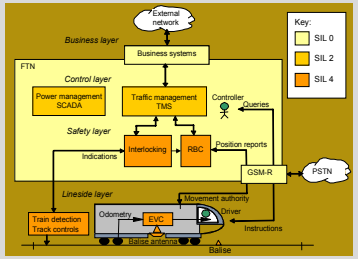


SECURITY-INFORMED SAFETY AND RESILIENCE - OVERVIEW

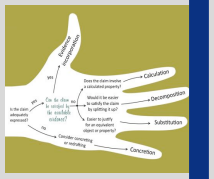
Systems of systems



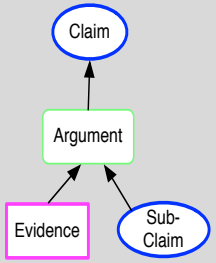
Risk assessment



Training



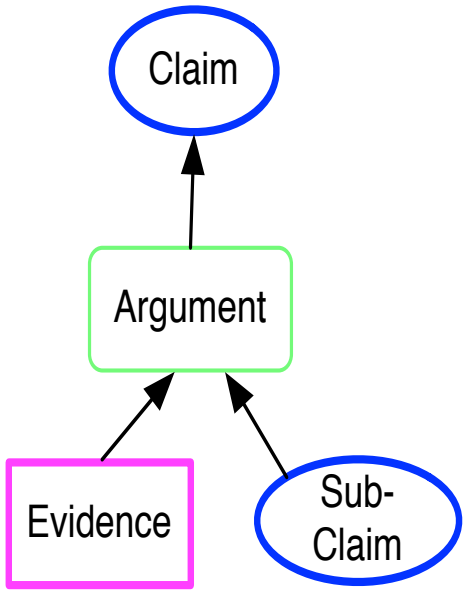
Assurance and policy Framework



Standards and policy

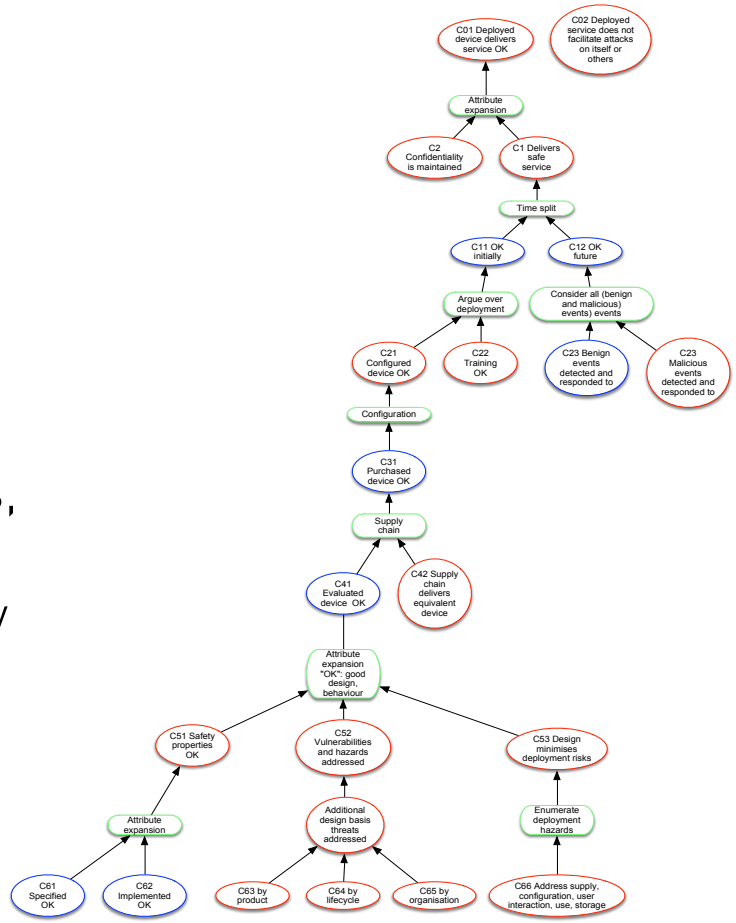
SECURITY-INFORMED ASSURANCE CASES

- Methodology
  - Express safety case about system behavior in terms of Claims-Arguments-Evidence
  - Review how the claims might be impacted by security
  - Review security controls to see if these can be used to provide an argument and evidence for satisfying the claim
  - Review impact of deploying controls on architecture and implementation
- Iterative layered approach informed by strategy triangle
  - Properties, standards, vulnerabilities



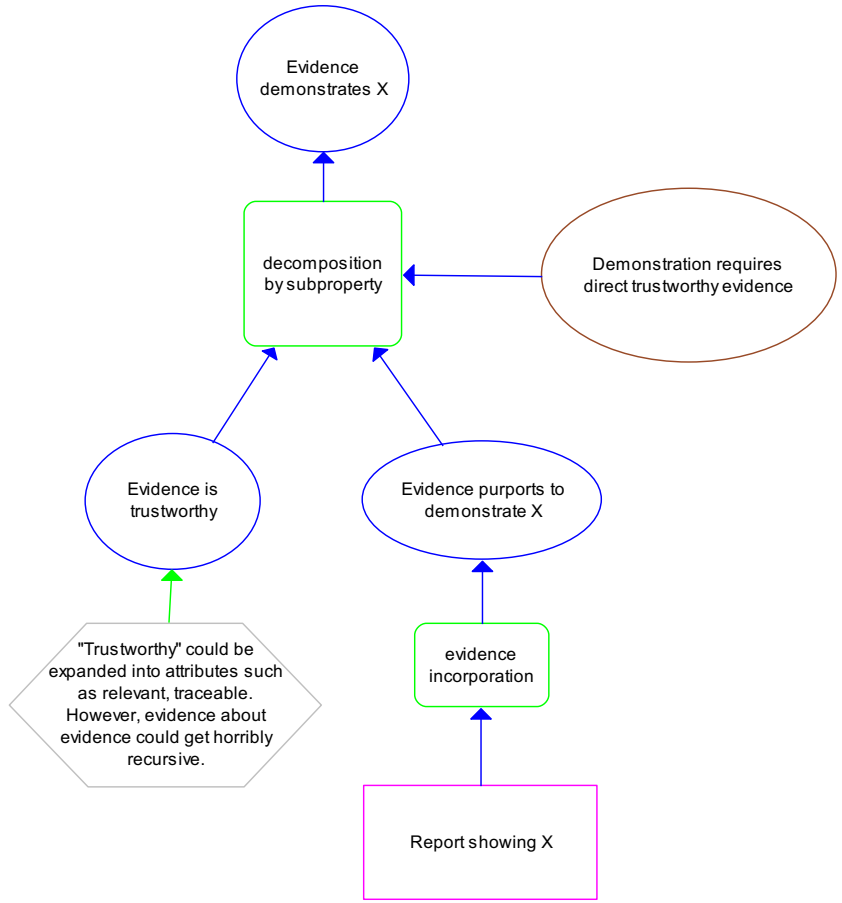
IMPACT OF SECURITY ON ASSURANCE CASE

- Some observations:
  - Integration of requirements
  - Possible exploitation of the device/service to attack itself or others
    - Failstop, role of CIA
  - Malicious events post deployment
  - Supply chain integrity
  - Design changes to address user interactions, training, configuration, vulnerabilities
  - Additional functional requirements - security controls
  - Reduced lifetime of installed equipment
- With supporting process and analysis techniques



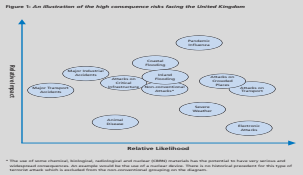
EXPLICIT DISCUSSION OF TRUSTWORTHINESS OF EVIDENCE

- Changing the threat assumptions impact how we address evidence that is fundamental to the safety case.
- Need an explicit claim that the evidence is trustworthy and we may need to factor this by the different organisations that provide it.
  - risks from the deliberate tampering with evidence
  - non-reporting or falsification of findings
- Safety standards already require the trustworthiness of tools to be justified,
  - inclusion of security concerns means that the different threats become credible e.g. malicious inclusion of code by tools needs consideration.



ERTMS-BASED RAILWAY SYSTEMS

Systems of systems



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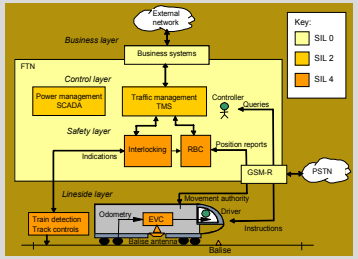
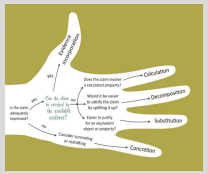
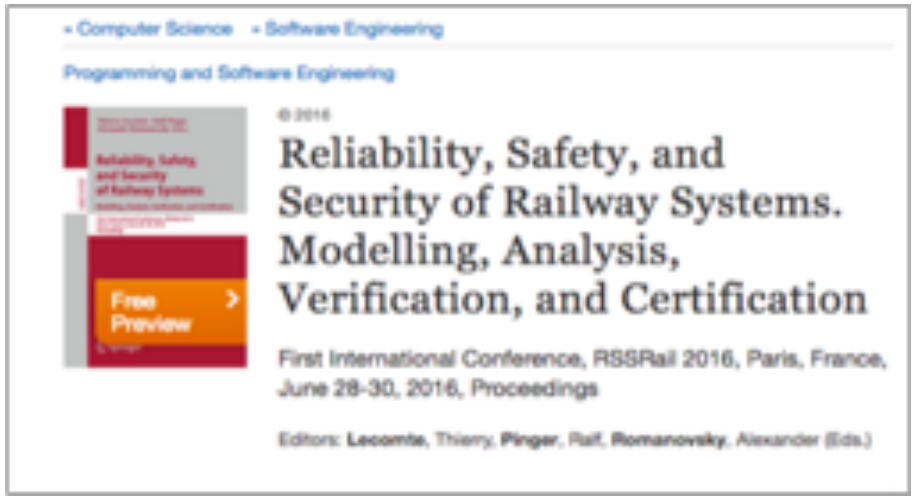


Training



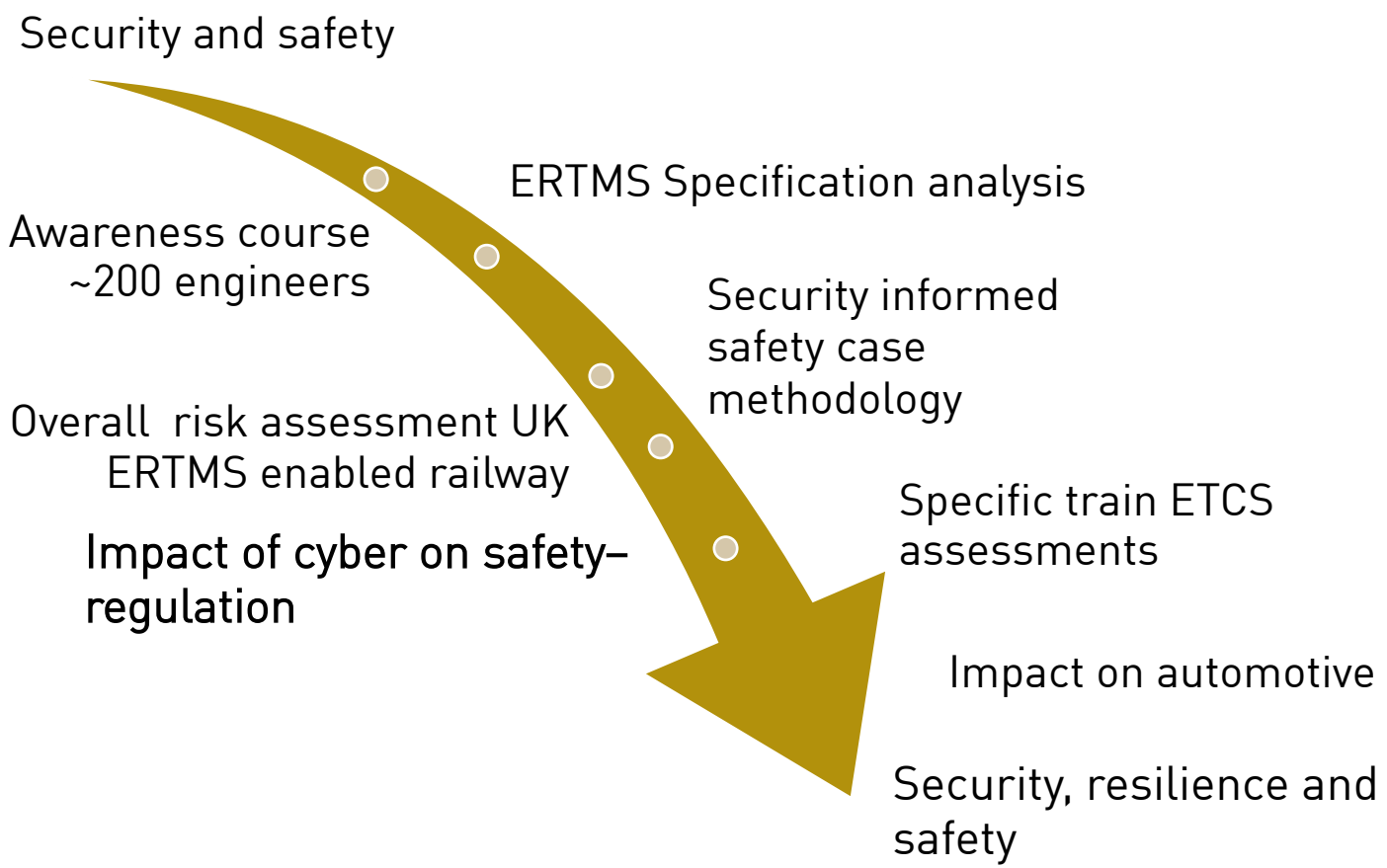


Bloomfield, R. E., Bendele, M., Bishop, P. G., Stroud, R. & Tonks, S. (2016). The risk assessment of ERTMS-based railway systems from a cyber security perspective: Methodology and lessons learned. Paper presented at the First International Conference, RSSRail 2016, 28-30 Jun 2016, Paris, France.

LESSONS LEARNED

1. Start security considerations as early in the lifecycle as possible.
2. Early on, assess the implications of security for the project - low safety criticality can have high security.
3. Define the security and safety engineering and assurance processes and their interaction.
4. Integrate security into safety analysis (e.g., by performing a security-informed Hazop).
5. Develop, validate and update the hazard analysis in light of penetration testing.
6. Require evidence for the service providers' non-functional requirements (integrity, availability) rather than just relying on SLAs.
7. Provide greater emphasis on resilience and incident recovery.
8. Maintain a "living" safety case. Address changes to threats and strengths of security controls.
9. Be aware of the need for security controls in addition to safety controls in end-users and service providers.

Security, resilience and safety



FROM VISION TO OBJECTIVES

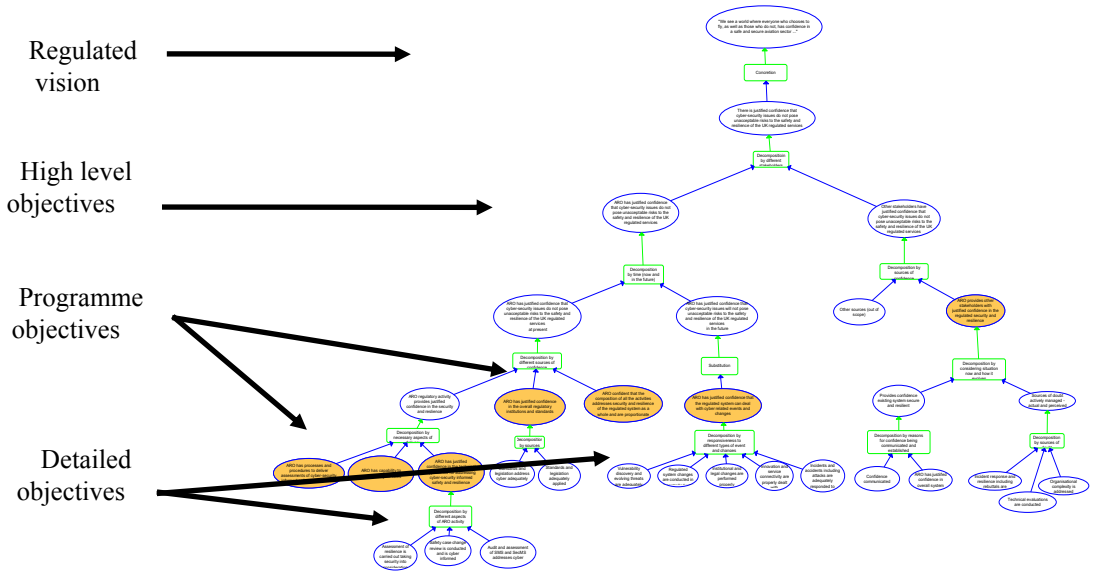
Goal

- *"We see a world where there is justified confidence that (cyber) security issues do not pose unacceptable risks to the safety and resilience of…"*

Consider this from the viewpoint of different stakeholders,

- *the ARO has justified confidence in its products*
- *the ARO provides other stakeholders with justified confidence*

This second point is unusual - example of the "good citizen" principles



IMPACT ON REGULATOR

A CAE-based analysis led to a structured set of objectives for the Cyber Strategy

To support the ARO provided an analysis of some of the challenges :

- cyber-informed safety assurance
- resilience
- vulnerabilities
- systemic risks and interdependencies
- awareness, training and education
- incident response and organisational learning

From this we developed

- recommendations to address these issues, and related them to the programme objectives.
- a preliminary regulatory maturity model to explain and structure the programme of work and to put into context the challenge: achieving these seven objectives.
- programme objectives with links to levels of our maturity model to define an indicative high-level plan.

Bloomfield, R. E., Bishop, P. G., Butler, E. and Netkachova, K. (2017). Using an assurance case framework to develop security strategy and policies. Lecture Notes in Computer Science, 10489, pp. 27-38. doi: 10.1007/978-3-319-66284-8_3T

ROYAL ACADEMY OF ENGINEERING

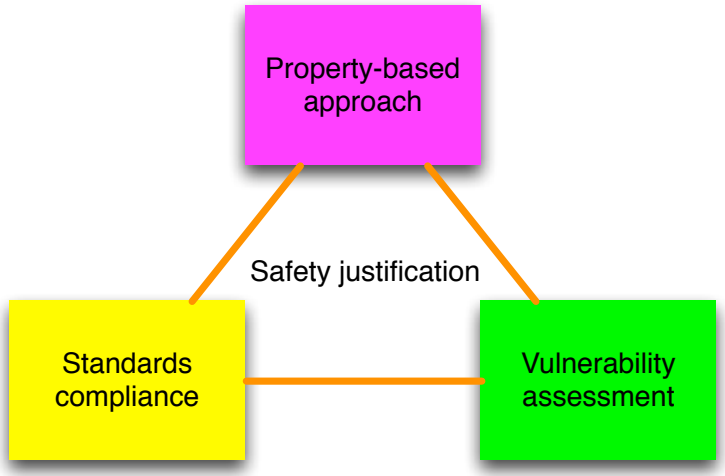
Cyber safety and resilience

strengthening the digital systems that support the modern economy

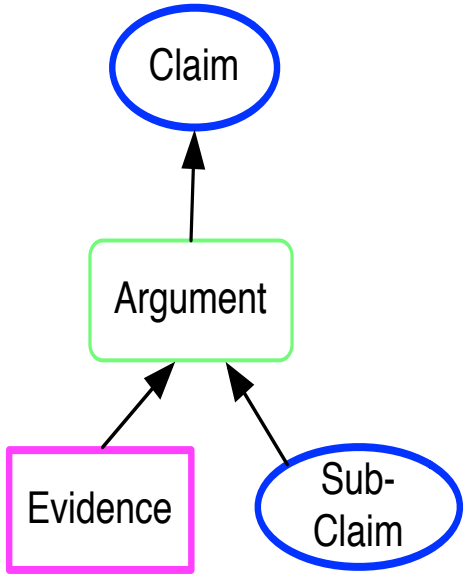
CURRENT PROJECTS

SECURITY INFORMED SAFETY CASES – COMMUNICATION AND REASONING

- Safety justification triangle

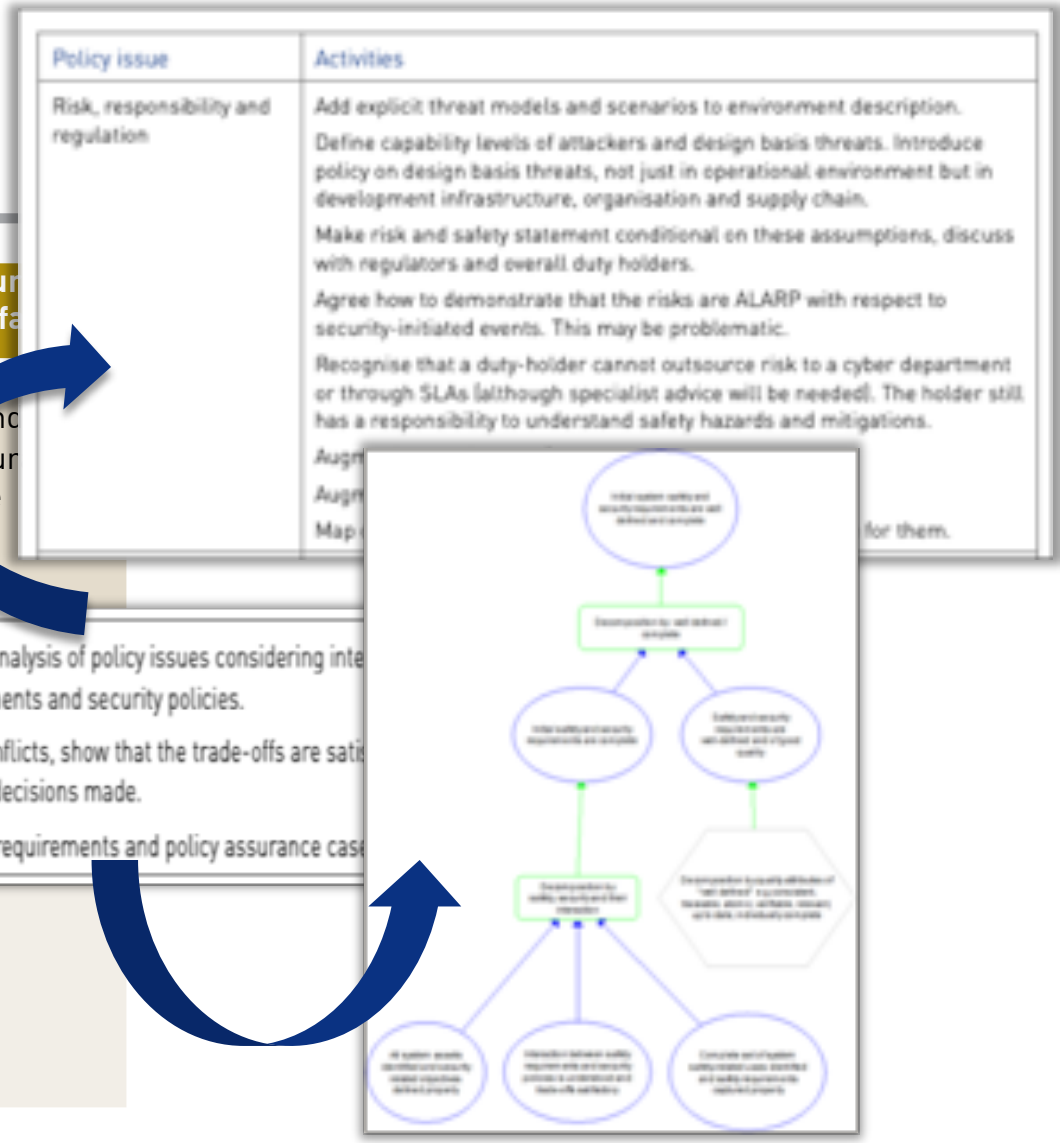


- CAE framework
  - Concepts
  - CAE Blocks
  - Guidance



7 STEPS – SECURITY INFORMED SAFETY CASES

Case study	Risk assessment	Development lifecycle	Assur artefa
Phase 1	Step 1 – Establish system context and scope of assessment	Requirements and specification	Re and Assur case
	Step 2 – Configure risk assessme		
	Step 3 – Analyse policies and requirements		
Phase 2	Step 4 – Preliminary risk analysis		
	Step 5 – Identify specific attack scenarios		
	Step 6 – Focused risk analysis		
	Step 7 – Finalise risk assessment		



SECURITY AND SAFETY - CODE OF PRACTICE AND PAS

What we are doing:

- Developed a fast track British Standard (PAS Code of Practice) on automotive eco-system security and safety
- Developing a Code of Practice for railways security informed safety
- Sponsored by the UK CPNI with close involvement of industry
- Principle based approach in keeping with UK outcome focused regulation

TOP-LEVEL PRINCIPLES (COMMON)

Security policy, organization and culture

Security-aware lifecycle

Maintaining effective defences

Incident management

Secure and safe design

Contributing to a safe and secure world

“GOOD CITIZEN” PRINCIPLE

- Detailed recommendations (automotive guidance)
- Explanatory notes
- Supporting rationale

8 Contributing to a safe and secure world

COMMENTARY ON CLAUSE 8

In safety industries, lessons learned are typically ... of systems is often communicated to end users and society at large via compliance with regulations, certification to standards, or specific testing schemes (such as the NCAP scheme for crash worthiness).

... formalized route for learning from experience, especially in the regulated high-hazard industries.

In contrast, in a security context, information that might help adversaries to optimize their behaviour needs to be protected. This includes information on vulnerabilities that are in the process of being patched, or details of the organization's threat intelligence or details of both successful and unsuccessful attacks.

It is worth noting that an organization's assets could be used to compromise the assets of another, and the resilience of the connected automotive ecosystem as a whole can be improved if all assets involved are hardened against attack – so called "herd immunity" – and information on security vulnerabilities and failure modes is shared to enable appropriate design decisions to be made. While the safety-focused organization will be attuned to the need to monitor, respond and learn from and share experience, security will bring new definitions of what constitutes an event worth reporting, changes to how and to whom this information is reported, the protocols for reporting and escalating externally. This is particularly relevant in the context of systemic failure, where hazardous situations can be caused ...

8.1 Managing risks

The organization should assess and manage risks to:

a) the wider connected automotive ecosystem; and

b) society more generally;

that might be derived from failure or compromise of its products, systems or services.

NOTE 1 The approach depends on the safety- and security-related nature of the product or service and the regulatory regime that applies.

NOTE 2 Examples of risk to society generally might include the widespread failure of the organization's products, systems and services, leading to a reduction in the capacity of the road transport network with a consequential impact on many other activities.

8.2 Compatibility and interoperability

The organization's products, systems and services should make use of industry-adopted standards for communication and security, where they can be shown to support adequate levels of safety and security.

8.3 Information sharing

8.3.1 The organization should enable its customers to assess the security of their product, system or service by making sufficient design and assurance information available.

NOTE To protect intellectual property, information such as detailed design documentation can be made available under a non-disclosure agreement (NDA) or ...

SUPPORTING ANNEXES

Annexes

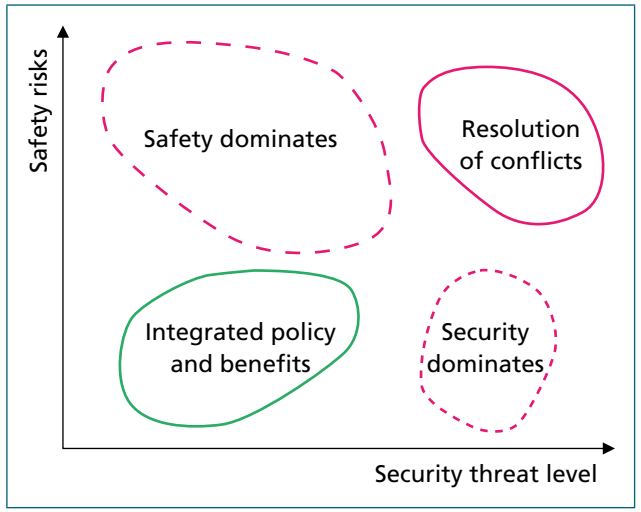
- Examples from the Rail CoP
- The annexes are informative and are designed to support the recommendations in the main body of the CoP

Appendix E Interactions between safety and security

E.1 Introduction

This CoP deals with many different aspects of considering security in the context of the safety of an integrated rail system. One of the most challenging areas is where safety and security interact, particularly in cases where their aims contradict or where there are unintended consequences. Interactions can stem from

- overlapping requirements
- overlapping functionality
- the use c
- informat
- misuse o



In general, t
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any trade-offs
essential to consider

For safety, t
functionality
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protect the
vulnerabiliti
there might

the required
security perspective is
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identify
cope of the CoP, but
ick, or where the

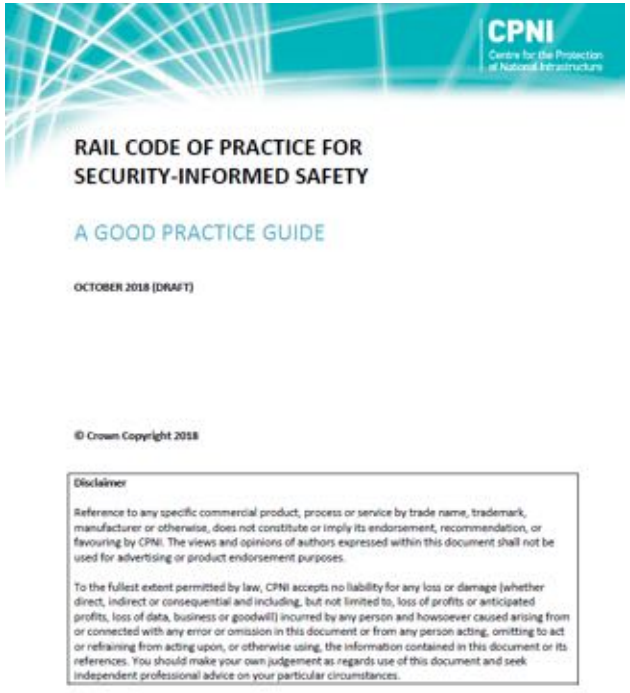
disclosure of sensitive data leads to non-physical harm.

Figure 6, which is taken and generalized from [1], shows four different scenarios where security and safety interact:

- **bottom left corner** – this is an area of maximum operational benefit, where there are low levels of threat and no significant safety challenge, so it is relatively straightforward to satisfy both aspects.
- **bottom right corner** – this is an area where security concerns might dominate due to the threat level, for example, a need to restrict access to the device. In this case, the safety analysis must

AVAILABILITY

- Rail
  - Draft for industry consultation
  - Currently under review
  - Plan to release guidance Q1 2019


CPNI
Centre for the Protection of National Infrastructure

RAIL CODE OF PRACTICE FOR SECURITY-INFORMED SAFETY

A GOOD PRACTICE GUIDE

OCTOBER 2018 (DRAFT)

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- Automotive
  - BSI publication December 2018
  - BSI PAS 11281

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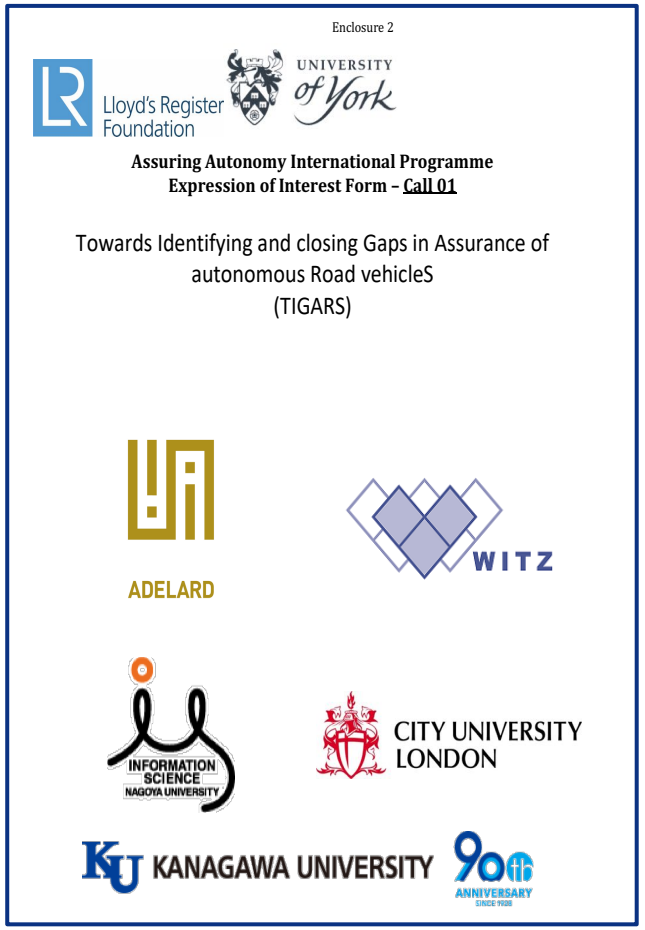
RESEARCH PROJECTS

"Towards Identifying and closing Gaps in Assurance of autonomous Road vehicleS"

(TIGARS)

ASSURED AUTONOMY


Enclosure 2

Lloyd's Register Foundation

UNIVERSITY of York

Assuring Autonomy International Programme
Expression of Interest Form – Call 01

Towards Identifying and closing Gaps in Assurance of autonomous Road vehicleS
(TIGARS)

ADELARD

WITZ

INFORMATION SCIENCE NAGOYA UNIVERSITY

CITY UNIVERSITY LONDON

KU KANAGAWA UNIVERSITY

90th ANNIVERSARY

Tigars - Aims & Challenges

Engineering Practices

Identify current autonomous systems engineering approaches and assess the current state of software engineering development practice.

Assurance Gaps

Assess the feasibility of deploying current state-of-the-art static analysis, verification, and testing techniques.

Standards & Policies

Recommendations to regulatory and policy organisations

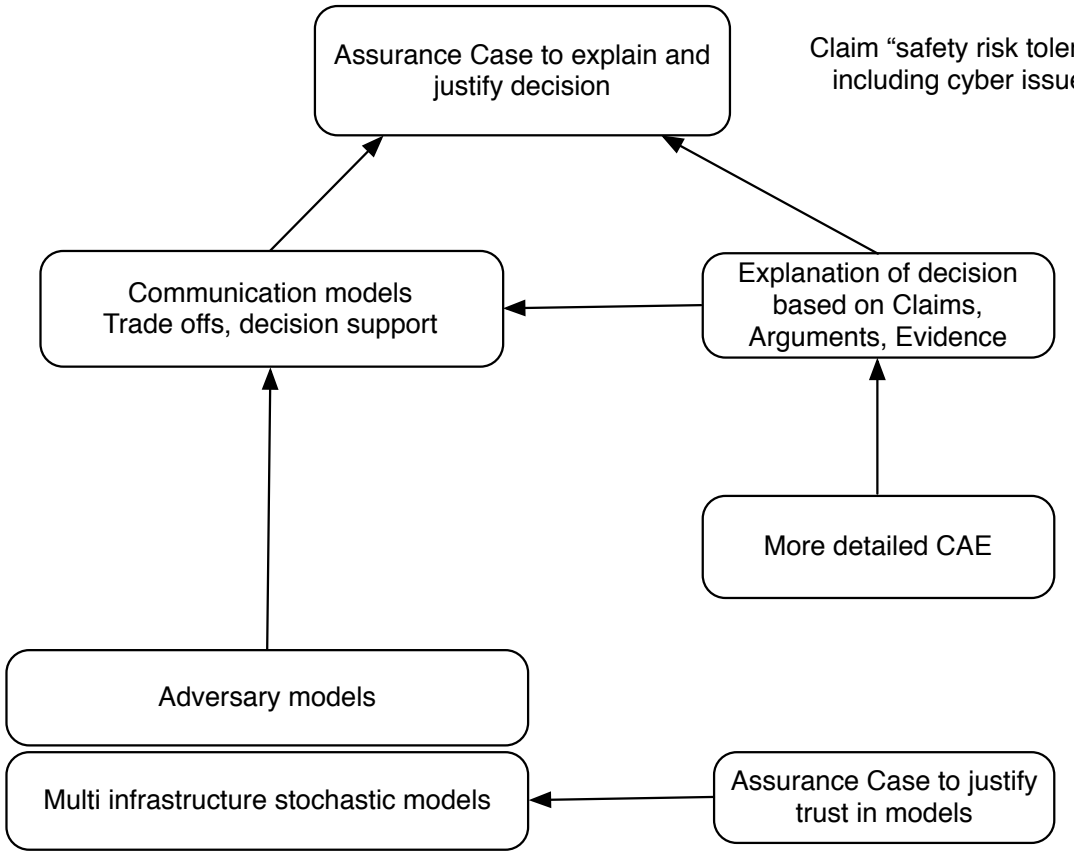
- principles-based framework to address autonomy
- near-term interpretation of existing standards.

Verification & Validation

Address assurance gaps with new approaches

- static analysis of machine learning,
- simulation and test strategies
- defence in depth.

OVERVIEW



PIA FARA

RITICS ROADMAPPING – SHORT TERM

- With Dr Peter Popov
- Landscape and road mapping
  - Identify issues with practitioners
    - Transport, Nuclear,
    - Resilience community
  - Develop issues
    - Breadth and selective depth
  - Combine
    - Technology and threat awareness
  - Develop short R&D roadmap
- Help and interest welcome!

- Structure of issues from
  - RAEng Cyber Safety + PAS + IAEA
  - Autonomy from Tigars and AAIP
  - Resilienceshift (Arup) and NIC

DISCUSSION – THE YES BUT…

WHY IT MIGHT BE EASIER THAN FEARED

- Success of dependability engineering
  - Automotive engineering
  - Air and rail transportation
  - Finance system
  - Nuclear power
  - Consumer products

Succeed through initial high quality, fault tolerance, failure management and recovery

Already address

- Safety cases and myriad sources of risk
- Competency and culture
- Incident response and organisational learning
- Updates to system and recertification
- Defence in depth and systemic risk
- Supply chains already managed
- Dependability built in

BUT ACHIEVING DEPENDABLE SYSTEMS IS HARD

- Automotive engineering
  - Yet Toyota, VW
- Air and rail transportation
  - Yet Spanish crash, Nimrod
- Finance system
  - Yet crashes, $400M bug
- Nuclear power
  - Yet Fukushima, QA fraud
- Consumer products
  - Yet recalls and data loss
- Medical systems
  - Yet avoidable deaths

"Normal business", achieving dependable conventional digital systems is hard

DISCUSSION – THE YES BUT…

- The impact of security on safety now *known* in general and have techniques for identifying this and detailing it further:
  - Security policy, organization and culture
  - Security-aware lifecycle
  - Maintaining effective defences
  - Incident management
  - Secure and safe design
  - Contributing to a safe and secure world
- Known and very significant impact

YES BUT...

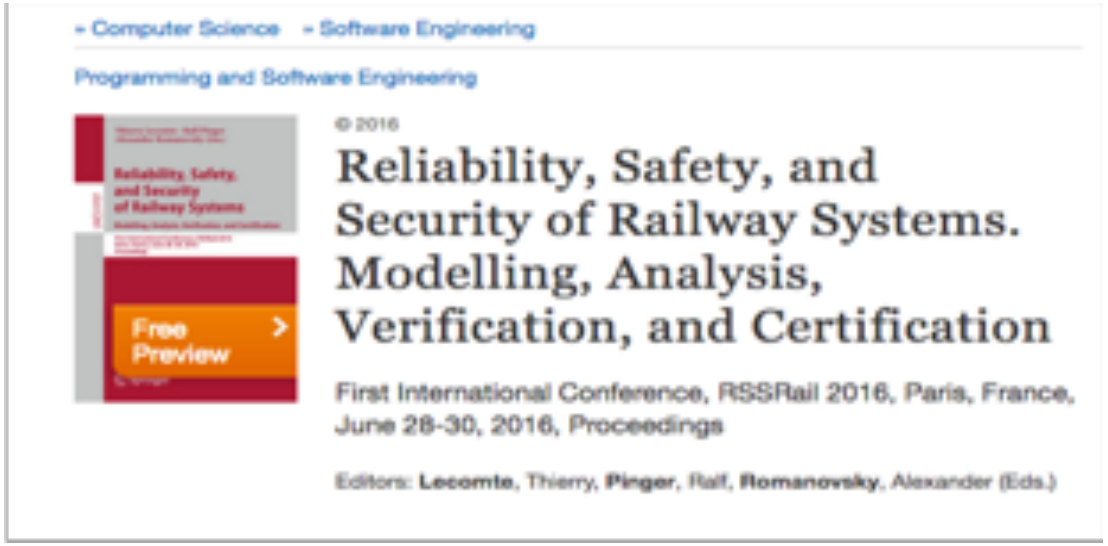
- Security will have a major impact on all aspects of organisation, governance, requirements, architecture, development, assurance
  - Management of institutional and regulatory change
  - Legacy and long lived systems
  - Systems engineering and systems thinking
  - Technologies and architectures
  - Assurance strategies
- Security, like quality, intrinsic to everything – need to address security mindedness
- Political, social and threat context is changing
- Technology and systems are changing
  - AI,ML, IoT

CONCLUSIONS

- Security will impact on all aspects of organisation, governance, requirements, architecture, development, assurance
  - Security policy, organization and culture
  - Security-aware lifecycle
  - Maintaining effective defences
  - Incident management
  - Secure and safe design
  - Contributing to a safe and secure world
- "Normal business" achieving dependable conventional digital systems is hard
- A way forward
  - Industry implement objectives of PAS
  - Government and NGO address RAEng and social policy issues
  - Research needed to support this
- Awareness of
  - Political, social and threat context is changing
  - Technology and systems are changing
  - Need for holistic approach
- Provides opportunities not just problems
- Innovate and integrate!!

FURTHER READING

IEEE Computer June 2016



Bloomfield, R. E., Bendele, M., Bishop, P. G., Stroud, R. & Tonks, S. (2016). The risk assessment of ERTMS-based railway systems from a cyber security perspective: Methodology and lessons learned. Paper presented at the First International Conference, RSSRail 2016, 28-30 Jun 2016, Paris, France.

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